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**BLAKELY** 

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Deliver to: _	Kalinowski, A.	Art Group: 2761
Firm Name:	U.S. PATENT AND TR	RADEMARK OFFICE
Fax Number:	(703) 746-4000	Telephone No.:
From: <u>Andr</u>	<u>é L. Marais Reg. No. 48,</u>	095
Date: <u>Mar</u>	ch 21, 2002	_ Time: <u>3:40 p.m.</u>
Operator:	Sarah M. Montgomery	Matter: <u>5214P001RD</u>
-	ges including cover she	
In Re Patent A	Application of: Kuri	acose Joseph, et al.
Application N	lo.: <u>09/903,458</u>	
Filed: July 1	0. 2001	
		AND RECEIVING EXECUTABLE APPLICATIONS
		STEM TO ORDER AN ITEM USING A
	COMPUTING SYSTEM	
		ts: Notice of Litigation Under 37 C.F.R.
		nt for Patent Infringement) (5pgs.).
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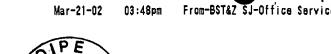
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**GROUP 3600** 

(Rev. 11/23/97)



omey's Docket No.: 5214P001RD

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of:

Kuriacose Joseph, et al.

Application No.: 09/903,458

Filing Date: July 10, 2001

For:

Sir:

attached.

APPARATUS FOR TRANSMITTING

AND RECEIVING EXECUTABLE

APPLICATIONS AS FOR A

MULTIMEDIA SYSTEM, AND SYSTEM

TO ORDER AN ITEM USING A

DISTRIBUTED COMPUTING SYSTEM

Examiner: Kalinowski, A.

Art Group: 2761

Technology Center 2100

Assistant Commissioner for Patents Washington, D.C. 20231

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**GROUP 3600** 

## NOTICE OF LITIGATION UNDER 37 C.F.R. 1.178

Notice is hereby provided that U.S. Patent No. 5,819,034, on which the above Reissue Patent Application is based, is involved in litigation. Specifically, the Assignee OpenTV, Inc. on February 7, 2002, filed a complaint in the U.S. District Court of Northern California alleging infringement by Liberate Technologies of U.S. Patents Nos. 5,819,034 and 5,563,648. A copy of the complaint is

Regarding the status of this litigation, only the above noted complaint has been filed to date. The Examiner is invited to contact the undersigned attorney with any questions relating to the current status of the litigation.

## CERTIFICATE OF TRANSMISSION

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If there are any fees in connection with this Notice, please charge Deposit Account No. 02-2666.

A duplicate of this Notice is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 03/2-1/, 2002

André L. Marais Reg. No. 48,095

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 947-8200

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# **EXHIBIT A**

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J. McELHINNY (BAR NO. 66781) MICHAEL A. JACOBS (BAR NO. 111664) RECEIVED GEORGE C. HARRIS (BAR NO. 111074)

MORRISON & FOERSTER LLP 425 Market Street

San Francisco, California 94105-2482

Telephone: (415) 268-7000 Fax: (415) 268-7522

MAR 2 6 2002

Technology Center 2100 FFB - 7 2002

RICHARD W. WEKING CLERK U.S. DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

б Attorneys for Plaintiff OPENTY, INC.

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ÚNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

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11 OPENTY, INC., a Delaware corporation,

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v.

corporation,

14 LIBERATE TECHNOLOGIES, a Delaware

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Defendant.

Plaintiff.

2 0655

COMPLAINT FOR P INFRINGEMENT

DEMAND FOR JURY TRIAL

Plaintiff OpenTV, Inc. ("OpenTV"), as its Complaint against Defendant Liberate

18 Technologies ("Liberate"), alleges as follows:

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#### **PARTIES**

- 20 OpenTV is a corporation incorporated under the laws of Delaware, with its principal
- 21 place of business at 401 East Middlefield Road, Mountain View, California 94043.
- Liberate is a corporation incorporated under the laws of Delaware, with its principal 22
- place of business at 2 Circle Star Center, San Carlos, California 94070. 23

24

#### JURISDICTION AND VENUE

- 25 This action arises under the patent laws of the United States, Title 35 of the United States
- Code. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. 26
- §§ 1338(a) (action arising under an Act of Congress relating to patents) and 1331 (federal question) 27
- 28 and 35 U.S.C. § 281.

COMPLAINT FOR PATENT INFRINGEMENT

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/	OIPE							
E	MAR 2 1 2007	4.	Venue is prop	er in this judicia	l district pursuant to 28 U.S.C	. §§ 1391(	b), 1391(c)	and
BATTER	RADEMARK			esides in this dist	trict, is subject to personal jur district.	RECE	IVED	ct and
	4	iing comi		PRELIN	MINARY STATEMENT	MAR 2		_
	5	5.	OpenTV is or	ne of the world's	leading interactive television	cpublican <sup>s</sup>	Center 2100 y companie	IJ ≋s.
	6	OpenTV	builds a complet	te "middleware"	platform that enables digital i	nteractive	television.	
	7	6.	OpenTV is th	ne sole owner of	numerous United States Pater	ts in the fi	eld of digita	al
	8	interactiv	e television, incl	luding: United St	tates Patent No. 5,563,648, iss	sued on Oc	tober 8, 19	96 (the
	9	"'648 pat	ent"), which is a	ttached hereto as	Exhibit A; and United States	Patent No	. 5,819,034	, issued
	10	on Octob	er 6, 1998 (the "	"034 patent"), w	hich is attached hereto as Exh	ibit B. Th	e '648 pater	nt and
	11	the '034	patent are referre	ed to collectively	herein as "the OpenTV paten	ts."		
	12	7	. Liberate com	petes against Op	enTV in the interactive televi	sion mark	et, developi	ng and
	13	marketin	g interactive tele	evision middlewa	are platforms under the Libera	te name. (	OpenTV ha	s not

## PATENT INFRINGEMENT BY LIBERATE

- OpenTV is informed and believes, and on that basis alleges, that Liberate has infringed and continues to infringe, directly or indirectly, the OpenTV patents by its unlicensed use, promotion, manufacture, and offering for sale, in this judicial district and elsewhere in the United States, of interactive television middleware.
- OpenTV is informed and believes, and on that basis alleges, that Liberate's infringement of the OpenTV patents has been and will continue to be willful.
- OpenTV is damaged and irreparably injured by Liberate's infringing activities and will 22 10. continue to be so damaged and irreparably injured unless Liberate's infringing activities are enjoined 23 24 by this Court.

#### PRAYER FOR RELIEF

WHEREFORE, OpenTV requests that the Court: 26

licensed Liberate under the OpenTV patents.

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Enter judgment that OpenTV is the owner of the OpenTV patents and all rights of 27 A. recovery under each of them, and that each of those patents was duly issued and is valid; 28

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COMPLAINT FOR PATENT INFRINGEMENT sf-1180763

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लेंग्र		
2 3040	В.	Enter judgment that Liberate has infringed the OpenTV patents, directly or indirectly;
25	В.	• •
		Preliminarily and permanently enjoin Liberate, its officers, agents, servants,
3		I those in concert or participation with them, from any unlicensed use of the inventions
4	claimed in the	OpenTV patents;
5	D.	Award OpenTV damages as compensation for Liberate's infringement of the OpenTV
б	patents, such a	ward to include prejudgment interest;
7	E.	Treble such award of damages due to the willful nature of Liberate's infringement of
8	the OpenTV p	atents;
9	F.	Declare that this is an exceptional case under 35 U.S.C. § 285 and award OpenTV its
10	attorneys' fee	s and expenses in this action;
11	G.	Award OpenTV its costs in this action; and
12	H.	Enter such other and further relief to which OpenTV may be entitled as a matter of
13	law, or which	may otherwise be just and proper.
14	Dated	: February 7, 2002
15		HAROLD McELHINNY
16		MICHAEL A. JACOBS GEORGE C. HARRIS
17		MORRISON & FOERSTER LLP
18		
19		By: Michael A. Jacobs
20		Attorneys for Plaintiff
		OPENTY, INC.
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# DEMAND FOR JURY TRIAL

Plaintiff OpenTV hereby demands trial by jury of all claims and counterclaims in this action for which there is a right to trial by jury.

4	Dated:	February 7, 2002
5		HAROLD McELHINNY
6		MICHAEL A. JACOBS GEORGE C. HARRIS MORRISON & FOURTHRIS
7		MORRISON & FOERSTER LLP
8		By amif ad
9		Michael A. Jacobs
10		Attorneys for Plaintiff OPENTV, INC.
11		OPENI V, INC.
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